

AD _____

Award Number: DAMD17-99-1-9439

TITLE: Molecular Quantitation of Breast Cancer Cells in the
Peripheral Blood: Correlation with Clinical Stage at
Presentation and Disease Course

PRINCIPAL INVESTIGATOR: Daniel E. Sabath, M.D., Ph.D.

CONTRACTING ORGANIZATION: University of Washington
Seattle, Washington 98105-6613

REPORT DATE: September 2001

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;
Distribution Unlimited

The views, opinions and/or findings contained in this report are
those of the author(s) and should not be construed as an official
Department of the Army position, policy or decision unless so
designated by other documentation.

20020717 057

REPORT DOCUMENTATION PAGEForm Approved
OMB No. 074-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503

1. AGENCY USE ONLY (Leave blank)**2. REPORT DATE**

September 2001

3. REPORT TYPE AND DATES COVERED

Annual (1 Sep 00 - 31 Aug 01)

4. TITLE AND SUBTITLE

Molecular Quantitation of Breast Cancer Cells in the
Peripheral Blood: Correlation with Clinical Stage at
Presentation and Disease Course

5. FUNDING NUMBERS

DAMD17-99-1-9439

6. AUTHOR(S)

Daniel E. Sabath, M.D., Ph.D.

7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)

University of Washington
Seattle, Washington 98105-6613

E-Mail:

**8. PERFORMING ORGANIZATION
REPORT NUMBER****9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES)**

U.S. Army Medical Research and Materiel Command
Fort Detrick, Maryland 21702-5012

**10. SPONSORING / MONITORING
AGENCY REPORT NUMBER****11. SUPPLEMENTARY NOTES****12a. DISTRIBUTION / AVAILABILITY STATEMENT**

Approved for Public Release; Distribution Unlimited

12b. DISTRIBUTION CODE**13. ABSTRACT (Maximum 200 Words)**

The overall objective of this project is to develop an assay to detect small numbers of breast cancer cells in a patient's peripheral blood. This assay would be used to test the hypothesis that the presence of circulating breast cancer cells would be predictive of stage at presentation or relapse. The first stage of this project has been to optimize a quantitative reverse transcriptase-polymerase chain reaction (qRT-PCR) to quantify breast cancer-specific RNA molecules in the peripheral blood. The result of this initial work was to select keratin 19 (K19) RNA as the best target for detecting small numbers of breast cancer cells. We have also selected an internal reference RNA (β_2 -microglobulin), and we have optimized our protocol for extracting RNA from patient blood samples. The second phase of this project has been to develop methods to enrich blood samples for tumor cells, thereby increasing the sensitivity of the assay. Having optimized these parameters, we plan to use the qRT-PCR assay for K19 RNA to study patients with breast cancer, both at the time of presentation and after treatment.

14. SUBJECT TERMS

Breast Cancer

15. NUMBER OF PAGES

6

16. PRICE CODE**17. SECURITY CLASSIFICATION
OF REPORT**

Unclassified

**18. SECURITY CLASSIFICATION
OF THIS PAGE**

Unclassified

**19. SECURITY CLASSIFICATION
OF ABSTRACT**

Unclassified

20. LIMITATION OF ABSTRACT

Unlimited

TABLE OF CONTENTS

Cover	1
SF298	2
Table of Contents.....	3
Introduction	4
Body	4
Key Research Accomplishments.....	5
Reportable Outcomes.....	6
Conclusions	6
References	6

INTRODUCTION

The overall objective of this project is to develop an assay to detect small numbers of breast cancer cells in a patient's peripheral blood. This assay will be used to test the hypothesis that the presence of circulating breast cancer cells is predictive of stage at presentation or relapse. The first stage of this project was to optimize a quantitative reverse transcriptase-polymerase chain reaction (qRT-PCR) to quantify breast cancer-specific RNA molecules in the peripheral blood. The result of this initial work was to select keratin 19 (K19) RNA as the best target for detecting small numbers of breast cancer cells. We also selected an internal reference RNA (β_2 -microglobulin), and we optimized our protocol for extracting RNA from patient blood samples. The second stage of this work has been to develop ways to enrich peripheral blood specimens for tumor cells, thereby increasing the sensitivity of the assay. Having optimized these parameters, we plan to use the qRT-PCR assay for K19 RNA to study patients with breast cancer, both at the time of presentation and after treatment.

WORK ACCOMPLISHED

Task 1: To develop molecular tests to quantify breast cancer cells in the peripheral blood

During the past year, we have devoted our efforts to increasing the sensitivity of the qRT-PCR assay to detect small numbers of circulating breast cancer cells. In our last annual report, I indicated that we were able to detect as few as 5 tumor cells in 10^6 white cells. This level of sensitivity is based on experiments in which tumor cells grown in culture were mixed with non-tumor cells. The sensitivity of the assay was higher when tumor cell RNA was mixed with water, indicating that the presence of background RNA decreases the sensitivity of the RT-PCR reaction. Thus, if we could reduce the background RNA in the assay, we should improve the assay's sensitivity. This is the rationale for our efforts of the past year, in which we have attempted to enrich samples for tumor cells prior to RNA isolation. Our work was slowed considerably due to a 4-month period in which we were having technical difficulties with the AppliedBiosystems 7700 sequence detector used to perform our assays. As a result, we do not have the number of reportable outcomes I would have liked. These problems have now been solved, and we are again making satisfactory progress.

Ficoll-Hypaque Density Gradient Separation

Ficoll-Hypaque can be used to separate whole blood based on cell density. Red blood cells and granulocytes are denser than standard Ficoll-Hypaque, so these cells can be removed by layering whole blood over a Ficoll-Hypaque cushion, and then performing centrifugation. Our initial experiments using whole blood spiked with SKBR3 cells showed that RNA isolated from mononuclear cells that "float" on Ficoll-Hypaque is amplified by PCR more efficiently than RNA isolated from spiked whole blood. Additional efficiency was obtained when red cells were lysed with hypotonic ammonium chloride prior to Ficoll-Hypaque separation. The increase in assay sensitivity provided by Ficoll separation was one log, or 5 tumor cells in 10^7 white cells.

Positive Selection with Magnetic Beads

Magnetic bead enrichment has been used successfully to selectively purify epithelial cells from blood (1). To test the utility of magnetic beads to enrich for breast cancer cells in blood we used Dynabeads (Dynal Biotech, Oslo, Norway) to purify SKBR3 cells that had been added to whole blood samples. Successful enrichment was verified using flow cytometry. In an experiment in which qRT-PCR was performed in parallel on Ficoll-enriched and Dynabead-enriched samples, the Dynabeads produced a 10-fold increase in sensitivity, although the sensitivity of this experiment was lower than in most experiments. The enrichment for epithelial cells was verified by flow cytometry. Despite the enrichment observed, there was still considerable contamination of the enriched cells by leukocytes as evident from the β_2 -microglobulin signal obtained from the enriched

specimens, from the flow cytometry results that show significant numbers of non-epithelial cells, and from microscopic examination of the enriched cell specimens.

Negative Selection with RosetteSep

Because of the leukocyte contamination observed with Dynabead selection, and because it was possible that the Dynabeads were less than 100% efficient at recovering tumor cells, we explored the possibility of depleting leukocytes rather than enriching for tumor cells. RosetteSep is a product of Stem Cell Technologies (Vancouver, BC, Canada) that uses a mixture of anti-leukocyte antibodies to remove white cells by rosetting and density gradient separation. We compared the sensitivity of the magnetic bead enrichment and RosetteSep negative selection methods on blood samples spiked with SKBR3 cells. Magnetic bead enrichment appeared to result in higher amounts of K19 mRNA in samples spiked with as few as one SKBR3 cell per million white cells. However the RosetteSep method gave a detectable K19 signal with one SKBR3 cell per ten million white cells. We need to explore further which method is optimal for maximizing the sensitivity of the K19 qRT-PCR assay.

Establishment of reference range

Because we have taken additional steps to increase the sensitivity of the qRT-PCR assay, it will be necessary to establish a new reference range with normal blood donors. Preliminary experiments using either positive or negative selection enrichment methods indicate that K19 mRNA is present at very low levels in the peripheral blood of normal volunteers. Therefore, it will be important to establish the maximum amount of K19 mRNA that is present in normal individuals to determine the significance of finding a given level of K19 mRNA in breast cancer patients. This work is currently under way.

Task 2: To apply the test to untreated breast cancer patients

Since we have spent a considerable amount of time maximizing the sensitivity of our assay, we have not yet begun collecting specimens from breast cancer patients to test with the optimized methods. We plan to collect specimens from the University of Washington Medical Center (supervised by Dr. Melanie Palomares) and from the Harborview Medical Center (supervised by Dr. Hannah Linden). In addition, we will receive additional patient specimens through collaboration with Dr. Nancy Kiviat. Dr. Kiviat has an ongoing research program in Senegal and has collected specimens from patients with primary diagnoses of breast cancer in Africa. She will be sharing RNA from these samples for our study.

We will be collecting peripheral blood samples from patients with new breast cancer diagnoses with all clinical stages of disease. We would like to get as many samples as possible from patients with Stage III and IV disease, since we expect these to provide more positive results. This will be the primary focus of our work in the coming year.

Task 3: To apply the test to treated breast cancer patients to determine if the test can predict disease progression

Since we are just beginning to collect specimens from first-time patients, we have not yet begun work on this task.

Key Research Accomplishments

1. Increased sensitivity of the qRT-PCR assay using positive and negative selection for epithelial cells.
2. Solved formidable technical problems with the instrument used to perform our assays
3. Establishment of working relationship with oncology clinics in Seattle and Senegal from which to get patient specimens

Reportable Outcomes*Training supported by this award*

Dr. Melanie Palomares, a Senior Fellow in Oncology, conducted this research project in partial fulfillment of her requirements for board eligibility in Hematology-Oncology.

Joyce Addo, an undergraduate at Delaware State University, worked in the laboratory this summer under the direct supervision of Dr. Palomares. She presented a poster of her work to our research group, and will be presenting her poster when she returns to college in Delaware.

Conclusions

The work accomplished so far has been to validate an assay to quantitate K19 RNA in the blood of breast cancer patients, which should reflect the number of circulating breast cancer cells. Although we have not yet begun clinical trials with this assay, we have demonstrated that we should be able to detect 1 breast cancer cell in 10^7 white blood cells. In the next phase of our study, we will determine whether this assay has clinical utility for both determining a patient's prognosis at initial presentation and predicting the likelihood of progressive disease on follow-up. We will also be evaluating whether using magnetic bead enrichment of epithelial cells or depletion of leukocytes will improve the assay sensitivity. If our efforts are successful, this assay may come to be used in the routine care of cancer patients. We hope that by detecting cancer cells in the blood, patients may be treated earlier for recurrent/metastatic disease and that this will translate into improved patient survival.

Reference

1. Martin, V. M., Siewert, C., Scharl, A., Harms, T., Heinze, R., Öhl, S., Radbruch, A., Miltenyi, S. and Schmitz, J. (1998) Immunomagnetic enrichment of disseminated epithelial tumor cells from peripheral blood by MACS. *Exp. Hematol.*, **26**, 252-264.